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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 400

Complete if Known

Application Number 09/610,158
Filing Date 06/30/2000
First Named Inventor William J. Veeneman
Examiner Name Dixon, Thomas A.
Group / Art Unit 3629
Attorney Docket No. 13212.137RE (formerly 9203/046RE)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

50-1848

Deposit
Account
Name

Patton Botggs LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility filing fee | |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| | ** = 0 | X | = 0 |
| Independent Claims | ** = 0 | X | = 0 |
| Multiple Dependent | | X | = 0 |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | 400.00 |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 400

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) James M. Graziano Registration No. Attorney/Agent 28,300 Telephone 303-379-1113

Signature

James M. Graziano

Date

25 Nov 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



11.27.02

GP 3629
PATENT 18

Practitioner's Docket No. 13212.137RE (formerly 9203/046RE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Veeneman
Application No.: 09/610,158
Filed: 06/30/2000

Group No.: 3629
Examiner: Dixon, Thomas A.

For: MULTI-MERCHANT GIFT REGISTRY

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

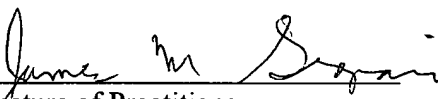
Pursuant to USPTO Office Communication of August 9, 2002, enclosed are the following documents:

1. Reissue Application Declaration By the Inventor (2 pages)
2. Original Patent Number 5,774,874 for Surrender
3. IDS(2 pages) and copies of art lost from file (35 pages) -1844
4. Assent of Assignee For Filing of Reissue Application (1 page)
5. Formal Drawings (20 sheets and 20 figures) 1614
6. Copy of Office Action dated 08/09/2002 (6 pages)
7. Petition for Extension of Time (1 page)
8. Fee Transmittal (1 page) 2 copy
- 9 Return Receipt Postcard (MPEP 503)

RECEIVED
DEC 02 2002
GROUP 3600

Applicant authorizes the Commissioner to charge any additionally required payment of fees to Deposit Account No. 50-1848.

Date: 25 NOVEMBER 2002


Signature of Practitioner

(303) 379-1113 Telephone
(303) 379-1155 Fax

James M. Graziano, Reg. No. 28,300
Customer No.: 24283

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service Express Mail "Post Office To Addressee" label number EL 946143623 US and addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Nancy J. Terwilliger


Signature

Nancy J. Terwilliger

Date: NOV 25, 2002

Doc. 10502



Patent Owner's Docket No. 9203/046RE

#17
PATENT

ASSENT BY ASSIGNEE FOR FILING OF REISSUE APPLICATION

This is part of the application for a reissue patent filed herewith based on the original patent identified as follows:

Name of Patentee: GCC, Inc.

Patent Number: 5,774,874

Date Patent Issued: 06/30/2000

Title of Invention: MULTI-MERCHANT GIFT REGISTRY

I am an assignee owning an undivided interest to the above original patent.

I assent to the accompanying application for reissue.

Attached is a "Statement under 37 C.F.R. section 3.73(b) -- Establishing Right of Assignee to Take Action."

GCC, Inc.
121 South Eighth Street, Suite 700
Minneapolis, MN 55402

Signature of person signing for assignee

Date: 9-7-00

Keith Fenhaus
Executive Vice President

RECEIVED
DEC 02 2002
GROUP 3600



13212.07 RE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

RECEIVED
AUG 15 2002
Patton Boggs LLP

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/610,158 | 06/30/2000 | William J. Veeneman | 9203/046 RE | 3096 |

24283 7590 08/09/2002

PATTON BOGGS
PO. BOX 270930
LOUISVILLE, CO 80027

EXAMINER

DIXON, THOMAS A

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

3629

DATE MAILED: 08/09/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Final o/A
Due Date: 10/9/02
Statutory Deadline: 2/9/03
Date Docketed: 8/16/02
Docketed by: A
Sec: NJT
Atty: JMG

Office Action Summary

Application No.

09/610,158

Applicant(s)

VEENEMAN ET AL.

Examiner

Thomas A. Dixon

Art Unit

3629

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 June 2002.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☒ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Oath/Declaration

1. Claims 1-29 remain rejected because the oath or declaration is defective. The declaration is not, in fact, a Reissue Oath/Declaration. A new oath or declaration in compliance with 37 CFR 1.175 and MPEP §§1414 is required.

The oath or declaration is defective because:

a) The reissue oath/declaration filed with this application fails to state that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56. The rule does not contain the terms "substantial likelihood... reasonable examiner..."

b) The reissue oath/declaration filed with this application is defective because it fails to identify at least one error which is relied upon to support the reissue application. See 37 CFR 1.175(a)(1) and MPEP §§1414.

c) The reissue oath/declaration filed with this application is defective because it fails to contain a statement that all errors which are being corrected in the reissue application up to the time of filing of the oath/declaration arose without any deceptive intent on the part of the applicant. See 37 CFR 1.175(a)(1) and MPEP §§1414.

Surrender of Patent

2. The original patent, or a statement as to loss or inaccessibility of the original patent must be received before this reissue application can be allowed. See 37 CFR 1.178

Information Disclosure Statement

3. Examiner request that applicant file IDS with all the art cited in the parent cases, and specifically requests copies of the non-patent literature as it appears to have benn lost from the file.

Assent of Assignee

4. The statement under 3.73(b) which establishes the right of the assignee to take action cannot be located, please resubmit.

Improper Recapture

5. The rejection under 35 USC 251 as improper recapture is withdrawn in view of applicant's amendments of the claims.

Drawings

6. This application has been filed with drawings that have been objected to by the draftsperson and are acceptable for examination purposes only. Formal drawings will be required when the application is allowed.

Allowable Subject Matter

7. Claims 1-29 are allowable over the prior art of record.
8. The following is an examiner's statement of reasons for allowance:

As per Claims 1, 9, 13, 15, 20, 25.

The prior art of record, specifically Chain Store Age Executive in view of Bianco (5,047,624) does not disclose or fairly teach the portable input and storage device for use with a plurality of participating merchant stores in a shopping area storing a unique identifier for the particular merchant associated with each desired gift.

The claims which depend from the above allowable claims are allowable for the same reasons.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

As per Claim 1.

Art Unit: 3629

Chain Store Age Executive discloses:

a first data entry system through which first information and inquiries about registrants in the gift registry are entered into the gift registry system, the first information including at least the registrant's name;

a second data entry system capable of receiving second information, the second information including a list of potential gifts which the registrant has identified and a unique identifier associated with the particular merchant having each of the desired gifts;

a database storage system that stores and retrieves the first and second information about the registrant and the unique identifier associated with the particular information about the registrant and the unique identifier associated with the particular merchant having the desired gifts; and

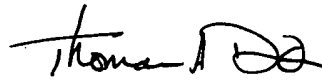
a display system that displays the list of potential gifts for a particular registrant and information about the particular merchants the desired gifts are from, including the unique identifier associated with the particular merchant having each of the desired gifts, in response to an inquiry from a prospective purchaser.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas A. Dixon whose telephone number is (703) 305-4645. The examiner can normally be reached on Monday - Thursday 6:30 - 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Weiss can be reached on (703) 308-2702. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.



Thomas A. Dixon
Examiner
Art Unit 3629

August 8, 2002